

Proton polarisability contribution to the Lamb shift in muonic hydrogen

Mike Birse

University of Manchester

Work done in collaboration with Judith McGovern

Eur. Phys. J. A **48** (2012) 120

arXiv:1708.09341

- Two-photon contribution to the Lamb shift
- Low-energy theorems for doubly-virtual Compton scattering
- Calculation of subtraction term in Chiral Perturbation Theory

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The proton radius puzzle 1

Lamb shift in muonic hydrogen: $\Delta E_L = E(2p_{\frac{1}{2}}) - E(2s_{\frac{1}{2}}) \simeq +0.2 \text{ eV}$

Much larger than in electronic hydrogen, dominated by vacuum polarisation and much more sensitive to proton structure, in particular, its **charge radius**

$$\Delta E_L^{\text{th}} = 206.0668(25) - 5.2275(10) \langle r_E^2 \rangle \text{ meV}$$

Results of many years of effort by Borie, Pachucki, Indelicato, Jentschura and others; collated in Antognini et al, Ann. Phys. **331** (2013) 127

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Includes contribution from two-photon exchange

$$\Delta E^{2\gamma} = 33.2 \pm 2.0 \mu\text{eV}$$

Sensitive to polarisabilities of proton by virtual photons

Focus of this talk

The proton radius puzzle 2

CREMA experiment at PSI: $2p_{\frac{3}{2}} \rightarrow 2s_{\frac{1}{2}}$ transitions to both hyperfine $2s$ states

Pohl et al, Nature **466** (2010) 213; Antognini et al, Science **339** (2013) 417

Eliminate hyperfine splitting to get

$$\Delta E_L^{\text{expt}} = 202.3706(23) \text{ meV}$$

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$$\Delta E_L^{\text{expt}} = 202.3706(23) \text{ meV}$$

CODATA 2014 value for charge radius, $r_E = 0.8751(61)$ fm (electronic H),
gives

$$\Delta E_L^{\text{th}} = 202.064(56) \text{ meV}$$

Discrepancy: **0.307(56) meV** ($> 5\sigma!$)

New value for charge radius from muonic H:

$$r_E = 0.84087 \pm 0.00026(\text{exp}) \pm 0.00029(\text{th}) \text{ fm}$$

The proton radius puzzle 3

Solutions:

(a) unexpected new physics?

Hard to find ones that are not excluded by other constraints

eg Carlson and Freid, Phys. Rev. D 92 (2015) 095024; Liu, Cloët and Miller, arXiv:1805.01028

(b) problem with electronic Hydrogen measurements?

Maybe: eH 2S–4P $\rightarrow r_E = 0.8335(95)$ fm

Beyer et al, Science 358 (2017) 79

Or maybe not: 1S–3S $\rightarrow r_E = 0.877(13)$ fm

Fleurbay et al, Phys Rev Lett 120 (2018) 183001

If so, value of the Rydberg constant will have to change by $> 5\sigma$ (11th digit)

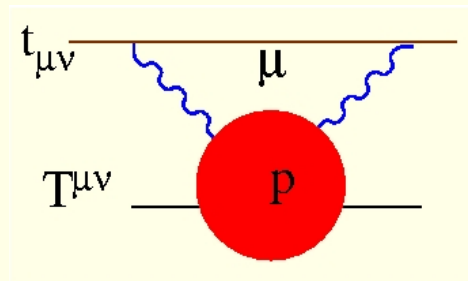
Two-photon exchange 1

In 2010: $\Delta E^{2\gamma} \sim 0.03$ meV was least-well determined contribution to ΔE_L^{th}

But it would need to be 10 times larger to explain the discrepancy

And it still contributes largest single uncertainty

→ important to determine $\Delta E^{2\gamma}$ and its uncertainty as well as possible



Integral over $T^{\mu\nu}(\nu, q^2)$ – doubly-virtual Compton amplitude for proton

Spin-averaged, forward scattering → two independent tensor structures

Common choice:

$$T^{\mu\nu} = \left(-g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) T_1(\nu, Q^2) + \frac{1}{M^2} \left(p^\mu - \frac{p \cdot q}{q^2} q^\mu \right) \left(p^\nu - \frac{p \cdot q}{q^2} q^\nu \right) T_2(\nu, Q^2)$$

multiplied by scalar functions of $\nu = p \cdot q / M$ and $Q^2 = -q^2$

Two-photon exchange 2

Amplitude contains elastic (Born) and inelastic pieces

$$T^{\mu\nu} = T_B^{\mu\nu} + \bar{T}^{\mu\nu}$$

Elastic: photons couple independently to proton (no excitation)

- need to remove terms already accounted for in Lamb shift (iterated Coulomb, leading dependence on $\langle r_E^2 \rangle$)

→ leaves “third Zemach moment” with relativistic corrections

Inelastic: proton excited → polarisation effects

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Elastic amplitude from Dirac nucleon with Dirac and Pauli form factors

K. Pachucki, Phys. Rev. A **60** (1999) 3593

$$\Gamma^\mu = F_D(q^2)\gamma^\mu + iF_P(q^2)\frac{\sigma^{\mu\nu}q^\nu}{2M}$$

(“Sticking in form factors”: Hill and Paz, Phys. Rev. D **95** (2017) 094017)

Doubly-virtual Compton scattering

Gives elastic amplitude

$$T_1^B(\nu, Q^2) = \frac{e^2}{M} \left[\frac{Q^4 \left(F_D(Q^2) + F_P(Q^2) \right)^2}{Q^4 - 4M^2\nu^2} - F_D(Q^2)^2 \right]$$

$$T_2^B(\nu, Q^2) = \frac{4e^2MQ^2}{Q^4 - 4M^2\nu^2} \left[F_D(Q^2)^2 + \frac{Q^2}{4M^2} F_P(Q^2)^2 \right]$$

On-shell intermediate nucleon states \rightarrow poles at $\nu = \pm Q^2/2M$

- residues given unambiguously by elastic form factors

Doubly-virtual Compton scattering

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$$T_2^B(\mathbf{v}, Q^2) = \frac{4e^2 M Q^2}{Q^4 - 4M^2\mathbf{v}^2} \left[F_D(Q^2)^2 + \frac{Q^2}{4M^2} F_P(Q^2)^2 \right]$$

On-shell intermediate nucleon states \rightarrow poles at $\mathbf{v} = \pm Q^2/2M$

- residues given unambiguously by elastic form factors

Final term in T_1 : no pole corresponding to on-shell intermediate nucleon

But this depends on choice of tensor basis (energy-dependent tensors)

cf Walker-Loud et al, Phys Rev Lett **108** (2012) 232301; Gasser et al, Eur Phys J C 75 (2015) 375

Also parts of this term are required by low-energy theorems

Thomson limit at $O(1)$, Dirac radius at $O(q^2)$

\rightarrow choose to keep it as part of Born amplitude

Low-energy theorems

V^2 CS not directly measurable, but constrained by LETs

Expand in tensor basis without kinematic singularities ($1/q^2$)

Tarrach, Nuov Cim **28A** (1975) 409

→ two independent tensors of order q^2 : correspond to polarisabilities $\alpha + \beta$ and β from real Compton scattering

$$\bar{T}_1(\omega, Q^2) = 4\pi Q^2 \beta + 4\pi \omega^2 (\alpha + \beta) + O(q^4)$$

$$\bar{T}_2(\omega, Q^2) = 4\pi Q^2 (\alpha + \beta) + O(q^4)$$

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Nonpole term in Born amplitude T_1^B contains piece $\propto Q^2$, fixed by LET:

$$F_D(Q^2)^2 = 1 - \left[\frac{1}{3} \langle r_E^2 \rangle - \frac{\kappa}{2M^2} \right] Q^2 + O(Q^4)$$

Moving this to inelastic amplitude would modify LET for \bar{T}_1

(if β defined in usual way from real Compton scattering)

All these LETs automatically built into EFTs at 4th order (NRQED, HBChPT)

eg Hill and Paz, Phys Rev Lett **107** (2011) 160402

Dispersion relations

Information on forward V^2 CS away from $q = 0$ from structure functions $F_{1,2}(\nu, Q^2)$ via dispersion relations

$$\bar{T}_2(\nu, Q^2) = - \int_{\nu_{th}^2}^{\infty} d\nu'^2 \frac{F_2(\nu', Q^2)}{\nu'^2 - \nu^2}$$

– integral converges since $F_2 \sim 1/\nu$ at high energies

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But $F_1 \sim \nu$ so need to use subtracted dispersion relation

$$\bar{T}_1(\nu, Q^2) = \bar{T}_1(0, Q^2) - \nu^2 \int_{\nu_{th}^2}^{\infty} \frac{d\nu'^2}{\nu'^2} \frac{F_1(\nu', Q^2)}{\nu'^2 - \nu^2}$$

$F_{1,2}(\nu, Q^2)$ well determined from electroproduction experiments at JLab

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Subtraction function $\bar{T}_1(0, Q^2)$ not experimentally accessible

Subtraction term 1

Satisfies LET: $\bar{T}_1(0, Q^2)/Q^2 \rightarrow 4\pi\beta$ as $Q^2 \rightarrow 0$

But Lamb shift requires integral over all Q^2

Define form factor

$$\bar{T}_1(0, Q^2) = 4\pi\beta Q^2 F_\beta(Q^2)$$

Large Q^2 : operator-product expansion gives $Q^2 F_\beta(Q^2) \propto Q^{-2}$

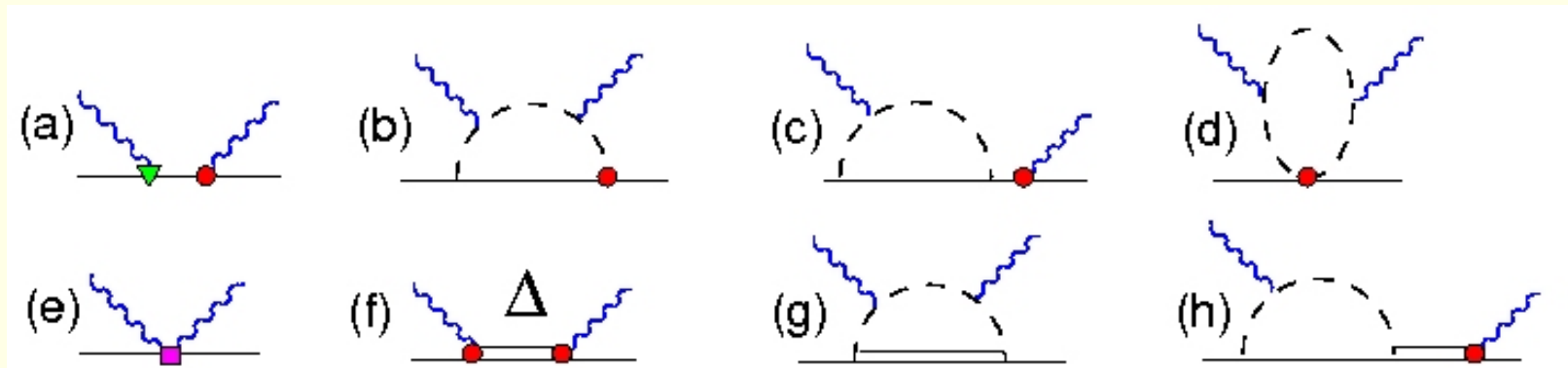
Collins, Nucl Phys B 149 (1979) 90; Hill and Paz, Phys. Rev. D 95 (2017) 094017

Small Q^2 : use chiral effective field theories to calculate $F_\beta(Q^2)$

Subtraction term 2

HBChPT at 4th order, plus leading effect of $\gamma N\Delta$ form factor

- same diagrams as for real Compton scattering [McGovern et al, Eur. Phys. J. A 49 \(2013\) 12](#)



- minor modifications for different kinematics
- subtract elastic contribution calculated to this order (pole + nonpole)

Subtraction term 3

3rd order EFTs give $F_\beta(Q^2)$ that can be integrated

But do not reproduce observed β

(and hence have incorrect slope for subtraction term at $Q^2 = 0$)

And single order gives no way to estimate convergence of chiral expansion

Alarcón et al, Eur Phys J C 74 (2014) 2852; Peset and Pineda, Eur Phys J A 51 (2015) 32

4th order EFTs contain LEC needed to reproduce experimental β

(and one to satisfy Dirac radius LET)

Difference between 3rd and 4th orders can be used to estimate errors

But give a form factor $F_\beta(Q^2)$ that cannot be integrated for large Q^2

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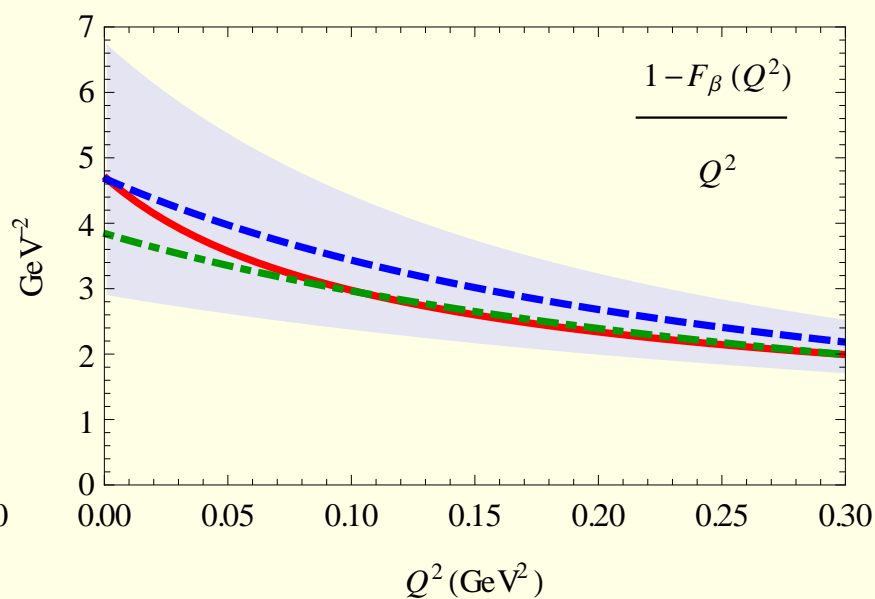
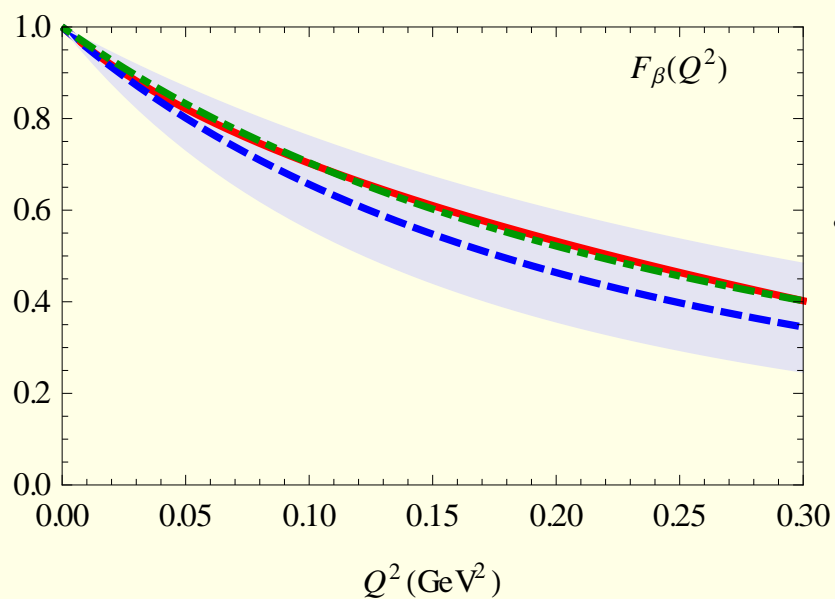
Here: estimate of uncertainty from difference between 3rd and 4th orders

with allowance for possible slower convergence of Δ contributions

And extrapolate to higher Q^2 by matching EFT onto dipole form of OPE

$$F_{\beta}(Q^2) \sim \frac{1}{(1 + Q^2/2M_{\beta}^2)^2}$$

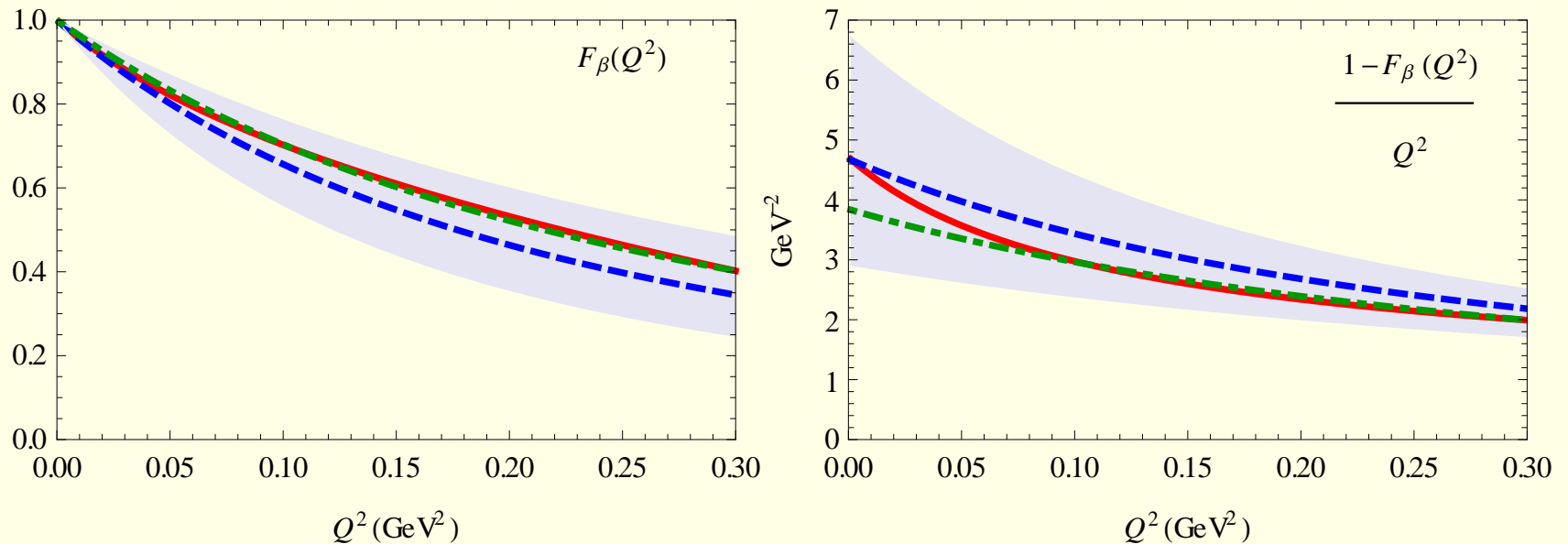
Form factor 1



EFT calculation

Dipole matched at $Q^2 = 0 \rightarrow M_\beta = 462 \text{ MeV}$; at $Q^2 \sim m_\pi^2 \rightarrow M_\beta = 510 \text{ MeV}$

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Dipole matched at $Q^2 = 0 \rightarrow M_\beta = 462 \text{ MeV}$; at $Q^2 \sim m_\pi^2 \rightarrow M_\beta = 510 \text{ MeV}$

Form-factor mass

$$M_\beta = 485 \pm 100 \pm 40 \pm 25 \text{ MeV}$$

Uncertainties from:

- higher-order effects and uncertainties in input (shaded)
- $\beta = (3.1 \pm 0.5) \times 10^{-4} \text{ fm}^3$ Griesshammer *et al*, Prog Part Nucl Phys **67** (2012) 841
- matching uncertainty

Form factor 2

Extended and corrected OPE calculation gives coefficient of Q^{-2} for large Q^2

Hill and Paz, Phys. Rev. D 95 (2017) 094017

$$\frac{Q^2 T_1(0, Q^2)}{4\pi \alpha_{\text{EM}} M} \sim 0.27 - 0.37$$

Our extrapolation: 0.2–23

Central value too high by factor of 3 to 4

But wide uncertainty band covers OPE result

And Lamb shift integral is heavily weighted to small Q^2

→ interpolation from EFT to OPE will not shift result outside our error band

Born subtraction: pole?

Alternative dispersion relation for full amplitude including Born terms

Hill and Paz, Phys. Rev. D 95 (2017) 094017

Subtraction term for $T_1(\nu, Q^2)$ has slope for $Q^2 \rightarrow 0$

$$\frac{T_1(0, Q^2) - T_1(0, 0)}{Q^2} = -\frac{4\pi\alpha_{\text{EM}}}{3M} (1 + \kappa)^2 \langle r_M^2 \rangle + \frac{4\pi\alpha_{\text{EM}}}{3M} \langle r_E^2 \rangle - \frac{2\pi\alpha_{\text{EM}}}{M^3} \kappa + 4\pi\beta$$

- first term: Born pole, $-3.93 \pm 0.39 \text{ GeV}^{-3}$
- second and third terms: Born nonpole, $0.54 \pm 0.01 \text{ GeV}^{-3}$
- final term: polarisability, $0.41 \pm 0.06 \text{ GeV}^{-3}$

Born pole gives large slope with large uncertainty (from magnetic radius r_M)

Subtraction term with this slope multiplying poorly-known form factor $F_\beta(Q^2)$

→ unnecessarily inflated error

Pole: well-defined structure, Q^2 dependence of residue given by elastic form factors

- can be extracted unambiguously from amplitude, DR applied to remainder

Born subtraction: nonpole?

Nonpole Born term different

- analytic in v (in standard tensor basis)
- follows from Lorentz invariance (eg by “sticking form factors” into Dirac equation)
- but only terms up to order Q^2 fixed by LETs
(at higher orders: new LECs in V^2CS)

We choose to extract it from the subtraction term

and evaluate it using empirical form factors

- terms beyond order Q^2 contain contributions beyond order of our EFT
(including higher-order LECs)
- effects of this choice should fall within our error estimate

Muonic H energy shift 1

$$\Delta E_{\text{sub}}^{2\gamma}(2p-2s) = \frac{\alpha_{\text{EM}} \phi(0)^2}{4\pi m} \int_0^\infty dQ^2 \frac{\bar{T}_1(0, Q^2)}{Q^2} \left[1 + \left(1 - \frac{Q^2}{2m^2} \right) \left(\sqrt{\frac{4m^2}{Q^2} + 1} - 1 \right) \right]$$

- with dipole form, 90% comes from $Q^2 < 0.3 \text{ GeV}^2$
- rather insensitive to value of M_β
- main source of error: β

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- rather insensitive to value of M_β
- main source of error: β

Result:

$$\Delta E_{\text{sub}}^{2\gamma} = -4.2 \pm 1.0 \mu\text{eV}$$

Comparable to previous, model-based results Pachucki, Phys. Rev. A **60** (1999) 3593;

Carlson and Vanderhaeghen, Phys. Rev. A **84** (2011) 020102

But with errors under much better control

Muonic H energy shift 2

Combined with results of Carlson and Vanderhaeghen

Carlson and Vanderhaeghen, *Phys. Rev. A* **84** (2011) 020102

- elastic (with nonpole term reinstated): $\Delta E_{\text{el}}^{2\gamma} = 24.7 \pm 1.3 \mu\text{eV}$
 - inelastic (dispersive): $\Delta E_{\text{inel}}^{2\gamma} = 12.7 \pm 0.5 \mu\text{eV}$
- total: $\Delta E^{2\gamma} = 33.2 \pm 2.0 \mu\text{eV}$

Main sources of uncertainty:

- magnetic polarisability β
- elastic form factors

Muonic deuterium 1

Lamb shift

$$\Delta E_L^{\text{th}} = 230.468(20) - 6.1103(3) \langle r_d^2 \rangle \text{ meV}$$

theory collated by Krauth et al, Ann Phys 366 (2016) 168

Two-photon exchange picks up both nuclear and hadronic contributions

Nuclear polarisability dominated by electric dipole term

Full contribution to shift from high-quality NN potentials: $\Delta E_{\text{nuc}}^{2\gamma} = 1.6615(103) \text{ meV}$

based on work of Hernandez et al, Phys Lett B 736 (2014) 344; Pachucki and Wienczek, Phys Rev A 91 (2015) 040503

But error on dipole contribution may be underestimated Pachucki

Muonic deuterium 2

Single proton elastic: from CV, rescaled by $\xi = (m_r^{\mu d} / m_r^{\mu p})^3$: $\Delta E_{\text{el}}^{2\gamma} = 0.0289(15)$ meV

Single neutron elastic neglected

Inelastic contribution from DRs with deuteron structure functions: $\Delta E_{\text{inel}}^{2\gamma} = 0.028(2)$ meV

Carlson et al, Phys Rev A 89 (2014) 022504

Subtraction term; take proton value, assume isoscalar (cf magnetic polarisabilities)

and rescale for μD : $\Delta E_{\text{sub}}^{2\gamma} = 0.010(10)$ meV

Give total $\Delta E_{\text{sub}}^{2\gamma} = 1.7091(146)$ meV

Dominant source of uncertainty in calculation of μD Lamb shift

CREMA: three hyperfine transitions

Pohl et al, Science 6300 (2016) 669

$$\Delta E_L^{\text{expt}} = 202.202.8785(34) \text{ meV}$$

Gives deuteron radius $r_d = 2.12562(78)$ fm

Summary

Subtraction term in two-photon-exchange contribution to Lamb shift calculated using chiral EFT at 4th order, with Δ contribution

$$\Delta E_{\text{sub}}^{2\gamma} = -4.2 \pm 1.0 \mu\text{eV}$$

Complete two-photon exchange contribution now well determined

$$\Delta E^{2\gamma} = 33 \pm 2 \mu\text{eV}$$

- factor 10 too small to explain proton radius puzzle (330 μeV)
- main sources of uncertainty: β (subtraction) and form factors (elastic)

Extrapolation questions 1

Extrapolation not needed in ChPT at 3rd order – two-photon loop finite

→ calculate $\Delta E^{2\gamma}$ directly

- errors larger than at 4th order
- inconsistencies between different versions:
 - heavy-baryon, with Δ

$$\Delta E_{\text{inel}}^{2\gamma} + \Delta E_{\text{sub}}^{2\gamma} = 18.5 + 8.0 = 26 \pm 13 \mu\text{eV}$$

Nevado and Pineda, *Phys Rev C* **77** (2008) 035202; Peset and Pineda, arXiv:1403.3408

- relativistic BChPT, Δ not included – contributions expected to cancel

$$\Delta E_{\text{inel}}^{2\gamma} + \Delta E_{\text{sub}}^{2\gamma} = 8.2_{-2.5}^{+1.2} \mu\text{eV}$$

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ChPT at 4th order

- consistent with current determination of magnetic polarisability β
- lowest order that makes direct contact with LETs
- but form factors unphysical above breakdown scale → extrapolate ($8.5 \pm 1.1 \mu\text{eV}$)

Extrapolation questions 2

Results not sensitive to details of extrapolation, unless...

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Miller, Phys Lett B **718** (2013) 1078

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But no evidence from related processes:

- dispersion relations for $T_2(0, Q^2)$ ($\sim \alpha + \beta$)
- proton-neutron mass difference Walker-Loud et al, Phys Rev Lett **108** (2012) 232301
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Nor from energy-weighted sum rules (despite large uncertainties)

Gorchtein et al, Phys Rev A **87** (2013) 052501

- after transfer of nonpole Born term back to elastic piece

$$\Delta E_{\text{sub}}^{2\gamma} = +1.5 \pm 4.6 \mu\text{eV}$$

(opposite sign for central value since $\beta = -0.3 \pm 4.0$)